APPENDIX C

ORGANIZATIONAL CONFLICT OF INTEREST STATEMENT

NEPA DISCLOSURE STATEMENT FOR PREPARING AN ENVIRONMENTAL IMPACT STATEMENT ON THE GILBERTON COAL-TO-CLEAN FUELS AND POWER PROJECT

CEQ Regulations at 40 CFR 1506.5(c), which have been adopted by the DOE (10 CFR 1021), require contractors who will prepare an EIS to execute a disclosure specifying that they have no financial or other interest in the outcome of the project. The term "financial interest or other interest in the outcome of the project" for purposes of this disclosure is defined in the March 23, 1981 guidance "Forty Most Asked Questions Concerning CEQ's National Environmental Policy Act Regulations." 46 FR 18026–18038 at Questions 17a and b.

"Financial or other interest in the outcome of the project" includes "any financial benefit such as a promise of future construction or design work in the project, as well as indirect benefits the contractor is aware of (e.g., if the project would aid proposals sponsored by the firm's other clients)." 46 FR 18026–18038 at 1803.

In accordance with these requirements UT-Battelle, LLC hereby certifies as follows:
COMPANY NAME

Fill in either (a) or (b)

(a) UT-Battelle, LLC has no financial or other interest in the outcome of the Gilberton Coal-to-Clean Fuels and Power Project.
COMPANY NAME

(b) _____ has the following financial or other interest in the outcome of the Gilberton Coal-to-Clean Fuels and Power Project and hereby agrees to divest itself of such interest prior to initiating any technical analysis in support of this project.
COMPANY NAME

Financial or Other Interests

1.
2.
3.

Certified by:

B R Miller 4.19.03
SIGNATURE DATE

Barry R. Miller
NAME

Director, Contracts
TITLE